



## Prior Learning Assessment and Recognition (PLAR) for FNCE3336 - Canadian Securities Course I.

### Required Evidence

This section provides information on the evidence that a student must complete and submit to request PLAR credits for this course. To request PLAR credits for this course, please submit a copy of the [PLAR credit request form](#) and the required evidence to [transfer@nait.ca](mailto:transfer@nait.ca).

Learning Outcomes	Required Evidence
<b>Learning Outcome 1:</b> Appraise the Canadian capital marketplace/securities industry and regulatory environment.	A copy of the Notice of Course Completion from the Canadian Securities Institute with the completion date within the past 3 years.  If the completion date was more than 3 years ago, the student must also submit proof of employment (see Appendix A for template) in one of the following positions with an employer who is an IIROC member: <ul style="list-style-type: none"><li>• Investment Advisor</li><li>• Investment Representative</li><li>• Mutual Fund Dealer</li><li>• Exempt Market Industry / Portfolio Management</li><li>• Financial Planner</li></ul>
<b>Learning Outcome 2:</b> Examine macroeconomics as related to investment principles and policies.	
<b>Learning Outcome 3:</b> Tabulate and justify investment instrument selection.	

## Appendix A: Employment Validation Letter Template

Instructions: The employment validation letter verifies that the student has completed relevant experience to the course(s) being challenged through PLAR. The experience validation letter must be printed on letterhead of the employer and signed by the human resources department indicating the length of experience.

Date

To Whom It May Concern:

I have reviewed the employment records of \_\_\_\_\_ and I can verify that the above  
*Name of employee/candidate*

candidate has been employed by \_\_\_\_\_ for \_\_\_\_\_. Please  
*Name of employer* *Length of experience*

contact me at \_\_\_\_\_ or \_\_\_\_\_ with any questions or for  
*Phone* *Email*

additional information.

Sincerely,

\_\_\_\_\_  
*Name*

\_\_\_\_\_  
*Job Title*

\_\_\_\_\_  
*Signature*